



**STATE OF HAWAII**  
**Public Utilities Commission**  
465 South King St., #103  
HONOLULU, HAWAII 96813  
e-mail: Hawaii PUC@hawaii.gov

**TO THE PUBLIC UTILITIES COMMISSION**

**OF THE STATE OF HAWAII**

**MONTHLY FINANCIAL REPORT OF**

**WATER CARRIERS**

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**STATE EXACT NAME OF CARRIER**

**MONTH ENDING \_\_\_\_\_**

Notice: Under Section 16-605-56(a)(1), Hawaii Administrative Rules, a monthly financial and statistical report is to be filed and is due on or before the last day of the month following the close of the previous calendar month.

Under Section 269-28(a), Hawaii Revised Statutes, a civil penalty shall be imposed for the delinquent filing of this financial and statistical report.

Carrier: \_\_\_\_\_

**BALANCE SHEET  
AS OF \_\_\_\_\_**

| <u>ACCOUNT TITLE</u>                                | <b>BALANCES</b>                |                                  | <b>Increase/<br/>Decrease<br/>During Year</b> |
|---|--------------------------------|----------------------------------|---|
|   | <b>Close of This<br/>Month</b> | <b>Same Period<br/>Last Year</b> |   |
| <b><u>CURRENT ASSETS</u></b>                        |                                |                                  |   |
| Cash  |                                |                                  |   |
| Notes Receivable                                    |                                |                                  |   |
| Accounts Receivable                                 |                                |                                  |   |
| Allowance for Doubtful Accounts                     |                                |                                  |   |
| Inventories   |                                |                                  |   |
| Other Current Assets                                |                                |                                  |   |
| <b>TOTAL CURRENT ASSETS</b>                         |                                |                                  |   |
| <b><u>INVESTMENTS</u></b>                           |                                |                                  |   |
| Securities of Related Companies                     |                                |                                  |   |
| Other Investments                                   |                                |                                  |   |
| <b>TOTAL INVESTMENTS</b>                            |                                |                                  |   |
| <b><u>PROPERTY AND EQUIPMENT</u></b>                |                                |                                  |   |
| Floating Equipment: Vessels                         |                                |                                  |   |
| Allowance for Depr. - Vessels                       |                                |                                  |   |
| Other Floating Equipment                            |                                |                                  |   |
| Allowance for Depr. - Other Floating Equip          |                                |                                  |   |
| Terminal Property & Equipment                       |                                |                                  |   |
| Allowance for Depr. - Term, Prop & Equip            |                                |                                  |   |
| Other Shipping Property & Equipment                 |                                |                                  |   |
| Allowance for Depr. - Other Ship Prop & Equip       |                                |                                  |   |
| Construction Work in Progress                       |                                |                                  |   |
| <b>TOTAL PROPERTY &amp; EQUIPMENT</b>               |                                |                                  |   |
| <b><u>OTHER ASSETS</u></b>                          |                                |                                  |   |
| Spare Parts   |                                |                                  |   |
| Other Assets  |                                |                                  |   |
| <b>TOTAL OTHER ASSETS</b>                           |                                |                                  |   |
| <b><u>DEFERRED CHARGES AND PREPAID EXPENSES</u></b> |                                |                                  |   |
| Unexpired Long-Term Insurance                       |                                |                                  |   |
| Leaseholds  |                                |                                  |   |
| Organization Expenses                               |                                |                                  |   |
| Other Deferred Charges & Prepaid Expenses           |                                |                                  |   |
| <b>TOTAL DEFERRED CHARGES &amp; PREPAID EXP</b>     |                                |                                  |   |
| <b><u>TOTAL ASSETS AND OTHER DEBITS</u></b>         |                                |                                  |   |

Carrier: \_\_\_\_\_

**BALANCE SHEET  
AS OF \_\_\_\_\_**

| <u>ACCOUNT TITLE</u>                                | BALANCES               |                          | Increase/<br>Decrease<br>During Year |
|---|------------------------|--------------------------|--------------------------------------|
|   | Close of This<br>Month | Same Period<br>Last Year |                                      |
| <b><u>CURRENT LIABILITIES</u></b>                   |                        |                          |                                      |
| Notes Payable                                       |                        |                          |                                      |
| Accounts Payable                                    |                        |                          |                                      |
| Accrued Taxes Payable                               |                        |                          |                                      |
| Long-Term Debt (Due Within Year)                    |                        |                          |                                      |
| Other Current Liabilities                           |                        |                          |                                      |
| <b>TOTAL CURRENT LIABILITIES</b>                    |                        |                          |                                      |
| <b><u>LONG-TERM DEBT</u></b>                        |                        |                          |                                      |
| Mortgage Bonds & Debentures                         |                        |                          |                                      |
| Other Long-Term Debt                                |                        |                          |                                      |
| <b>TOTAL LONG-TERM DEBT</b>                         |                        |                          |                                      |
| <b><u>DEFERRED CREDITS</u></b>                      |                        |                          |                                      |
| Deferred Income Taxes                               |                        |                          |                                      |
| Other Deferred Credits                              |                        |                          |                                      |
| <b>TOTAL DEFERRED CREDITS</b>                       |                        |                          |                                      |
| <b><u>OPERATING RESERVES</u></b>                    |                        |                          |                                      |
| <b>TOTAL OPERATING RESERVES</b>                     |                        |                          |                                      |
| <b><u>STOCKHOLDERS' EQUITY</u></b>                  |                        |                          |                                      |
| Common Stock Issued                                 |                        |                          |                                      |
| Preferred Stock Issued                              |                        |                          |                                      |
| Capital Stock Subscribed                            |                        |                          |                                      |
| Discount on Capital Stock                           |                        |                          |                                      |
| Paid-In Surplus                                     |                        |                          |                                      |
| Retained Earnings, Appropriated                     |                        |                          |                                      |
| Retained Earnings, Unappropriated                   |                        |                          |                                      |
| <b>TOTAL STOCKHOLDERS' EQUITY</b>                   |                        |                          |                                      |
| <b><u>TOTAL LIABILITIES &amp; OTHER CREDITS</u></b> |                        |                          |                                      |

Carrier: \_\_\_\_\_

**COMPARATIVE ANALYSIS OF MONTHLY INCOME STATEMENT**

| <u>ACCOUNT TITLE</u>                                 | ACCUMULATED TOTALS   |           |                          | Increase/Decrease<br>Accumulated<br>Totals |
|--|----------------------|-----------|--------------------------|--|
|  | Totals This<br>Month | This Year | Same Period<br>Last Year |  |
| <b>OPERATING REVENUES</b> (Schedule A)               |                      |           |                          |  |
| <b>TOTAL OPERATING REVENUES</b>                      |                      |           |                          |  |
| <b>OPERATING EXPENSES</b>                            |                      |           |                          |  |
| Terminal Expenses                                    |                      |           |                          |  |
| Cargo Handling Expenses                              |                      |           |                          |  |
| Maintenance Department Expenses                      |                      |           |                          |  |
| Voyage Expenses                                      |                      |           |                          |  |
| Administrative and General Expenses                  |                      |           |                          |  |
| Taxes Other Than Income Taxes                        |                      |           |                          |  |
| Depreciation   |                      |           |                          |  |
| Amortization   |                      |           |                          |  |
| <b>TOTAL OPERATING EXPENSES</b>                      |                      |           |                          |  |
| <b>NET OPERATING INCOME BEFORE INTEREST EXPENSES</b> |                      |           |                          |  |
| Interest Expense                                     |                      |           |                          |  |
| <b>NET OPERATING INCOME BEFORE INCOME TAXES</b>      |                      |           |                          |  |
| Provision for Deferred Income Taxes                  |                      |           |                          |  |
| Income Taxes   |                      |           |                          |  |
| <b>TOTAL INCOME TAXES</b>                            |                      |           |                          |  |
| <b>NET OPERATING INCOME</b>                          |                      |           |                          |  |
| <b>OTHER INCOME</b>                                  |                      |           |                          |  |
| Interest Income                                      |                      |           |                          |  |
| Dividend Income                                      |                      |           |                          |  |
| Miscellaneous Other Income                           |                      |           |                          |  |
| <b>TOTAL OTHER INCOME</b>                            |                      |           |                          |  |
| <b>OTHER DEDUCTIONS</b>                              |                      |           |                          |  |
| Amortization of Debt Discount/Expense                |                      |           |                          |  |
| Miscellaneous Amortization Expense                   |                      |           |                          |  |
| Doubtful Notes and Accounts Receivables              |                      |           |                          |  |
| Miscellaneous Deductions                             |                      |           |                          |  |
| <b>TOTAL OTHER DEDUCTIONS</b>                        |                      |           |                          |  |
| <b>NET INCOME</b>                                    |                      |           |                          |  |



Carrier: \_\_\_\_\_

**STATISTICS**

|                               | <b>Present Month</b> | <b>Year to Date</b> |
|-------------------------------|----------------------|---------------------|
| Passengers Carried            |                      |                     |
| Automobiles (tons)            |                      |                     |
| Heavy Equipment/Trucks (tons) |                      |                     |
| Livestock (tons)              |                      |                     |
| Containers (tons)             |                      |                     |
| Volume Shipments (tons)       |                      |                     |
| General Freight (tons)        |                      |                     |
| <b>Total Tons</b>             |                      |                     |

| <b>TOTAL NUMBER OF EMPLOYEES</b> | <b>Number</b> | <b>Amount Paid</b> |
|----------------------------------|---------------|--------------------|
| Administrative                   |               |                    |
| Supervisory                      |               |                    |
| Repairs, Service and Maintenance |               |                    |
| Stevedores                       |               |                    |
| Crewmen                          |               |                    |
| Office and Clerical              |               |                    |
| Other (Specify)                  |               |                    |
|                                  |               |                    |
|                                  |               |                    |
|                                  |               |                    |
|                                  |               |                    |
| <b>TOTAL</b>                     |               |                    |

**VERIFICATION**

I swear (or affirm) that the foregoing report has been prepared by me or under my direction, from the original books, records and documents of the respondent company; that I have carefully examined the foregoing report; that I believe to the best of my knowledge and information, all statements of fact and all accounts and figures contained in the foregoing report are true; that the said report is a correct and complete statement of the business affairs and operations of the company during the period for which said report has been prepared.

\_\_\_\_\_  
Signature of Officer or Authorized Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title of Officer/Authorized Representative